

# Colouring vertices of triangle-free graphs without forests

Konrad K. Dabrowski<sup>a</sup>, Vadim Lozin<sup>a,1</sup>, Rajiv Raman<sup>a</sup>, Bernard Ries<sup>b</sup>

<sup>a</sup>*DIMAP & Mathematics Institute, University of Warwick, Coventry CV4 7AL, UK*

<sup>b</sup>*LAMSADE, Université Paris-Dauphine, Paris, France*

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## Abstract

The VERTEX COLOURING problem is known to be NP-complete in the class of triangle-free graphs. Moreover, it is NP-complete in any subclass of triangle-free graphs defined by a finite collection of forbidden induced subgraphs, each of which contains a cycle. In this paper, we study the VERTEX COLOURING problem in subclasses of triangle-free graphs obtained by forbidding graphs without cycles, i.e. forests, and prove polynomial-time solvability of the problem in many classes of this type. In particular, our paper, combined with some previously known results, provides a complete description of the complexity status of the problem in subclasses of triangle-free graphs obtained by forbidding a forest with at most 6 vertices.

*Keywords:* MSC 05C15, vertex colouring, triangle-free graphs, polynomial-time algorithm, clique-width

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## 1. Introduction

A vertex colouring is an assignment of colours to the vertices of a graph  $G$  in such a way that no edge connects two vertices of the same colour. The VERTEX COLOURING problem consists of finding a vertex colouring with the minimum possible number of colours. This number is called the chromatic number of  $G$  and is denoted by  $\chi(G)$ . If  $G$  admits a vertex colouring with at most  $k$  colours, we say that  $G$  is  $k$ -colourable. The  $k$ -COLOURABILITY problem consists of deciding whether a graph is  $k$ -colourable and finding such a colouring, if it exists.

From a computational point of view, VERTEX COLOURING and  $k$ -COLOURABILITY ( $k \geq 3$ ) are difficult problems, i.e. both of them are NP-complete. Moreover, the problems remain NP-complete in many restricted graph families. For instance, 3-COLOURABILITY is NP-complete for planar graphs [11], 4-COLOURABILITY is NP-complete for graphs containing no induced path on 8 vertices [6], VERTEX COLOURING is NP-complete for line graphs [16]. On the other hand, for graphs in some special classes, the problems can be solved in polynomial time. For instance, 3-COLOURABILITY is solvable for graphs containing no induced path on 6 vertices [32],  $k$ -COLOURABILITY (for any value of  $k$ ) is solvable for graphs containing no induced path on 5 vertices [15], and VERTEX COLOURING (and therefore also  $k$ -COLOURABILITY for any value of  $k$ ) is solvable for perfect graphs [14].

Recently, much attention has been paid to the complexity of the problems in graph classes defined by forbidden induced subgraphs. Many results of this type were mentioned above, some

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others can be found in [2, 5, 7, 17, 18, 20, 21, 22, 27, 33, 36]. In [21], the authors systematically study VERTEX COLOURING on graph classes defined by a single forbidden induced subgraph, and give a complete characterisation of those for which the problem is polynomial-time solvable and those for which it is NP-complete. In particular, the problem is NP-complete for triangle-free graphs. More generally, from the results in [17] it follows that the problem is NP-complete in any subclass of triangle-free graphs defined by a finite collection of forbidden induced subgraphs, each of which contains a cycle. This motivates us to study the problem in subclasses of triangle-free graphs obtained by forbidding graphs without cycles, i.e. forests. In this paper we prove polynomial-time solvability of the problem in many classes of this type. In particular, our results, combined with some previously known facts, provide a complete description of the complexity status of the problem in subclasses of triangle-free graphs obtained by forbidding a forest with at most 6 vertices.

All preliminary information related to the topic of the paper can be found in Section 2, while open problems are discussed in Section 7.

## 2. Preliminaries

All graphs in this paper are finite, undirected, without loops or multiple edges. For any graph theoretical terms not defined here, the reader is referred to [12]. For a graph  $G$ , let  $V(G)$  and  $E(G)$  denote the vertex set and the edge set of  $G$ , respectively. If  $v$  is a vertex of  $G$ , then  $N(v)$  denotes the neighbourhood of  $v$  (i.e. the set of vertices adjacent to  $v$ ) and  $|N(v)|$  is the degree of  $v$ . The subgraph of  $G$  induced by a set of vertices  $U \subseteq V(G)$  is denoted by  $G[U]$ . For disjoint sets  $A, B \subseteq V(G)$ , we say that  $A$  is *complete* to  $B$  if every vertex in  $A$  is adjacent to every vertex in  $B$ , and that  $A$  is *anticomplete* to  $B$  if every vertex in  $A$  is non-adjacent to every vertex in  $B$ .

As usual,  $P_n$  is a chordless path,  $C_n$  is a chordless cycle, and  $K_n$  is a complete graph on  $n$  vertices. Also,  $K_{n,m}$  denotes a complete bipartite graph with parts of size  $n$  and  $m$ .  $S_{i,j,k}$  denotes a tree with exactly three leaves, which are at distance  $i, j$  and  $k$  from the only vertex of degree 3. In particular,  $S_{1,1,1} = K_{1,3}$  is known as a claw, and  $S_{1,2,2}$  is sometimes denoted by  $E$ , since this graph can be drawn as the capital letter E.  $H$  denotes the graph that can be drawn as the capital letter H, i.e.  $H$  has vertex set  $\{v_1, v_2, v_3, v_4, v_5, v_6\}$  and edge set  $\{v_1v_2, v_2v_3, v_2v_4, v_4v_5, v_4v_6\}$ . The graph obtained from a  $K_{1,4}$  by subdividing exactly one edge exactly once is called a *cross*. Given two graphs  $G$  and  $G'$ , we write  $G + G'$  to denote the disjoint union of  $G$  and  $G'$ . In particular,  $mG$  is the disjoint union of  $m$  copies of  $G$ .

The clique-width of a graph  $G$  is the minimum number of labels needed to construct  $G$  using the following four operations:

- (i) Creating of a new vertex  $v$  with label  $i$  (denoted by  $i(v)$ ).
- (ii) Taking the disjoint union of two labelled graphs  $G$  and  $H$  (denoted by  $G \oplus H$ ).
- (iii) Joining each vertex with label  $i$  to each vertex with label  $j$  ( $i \neq j$ , denoted by  $\eta_{i,j}$ ).
- (iv) Renaming label  $i$  to  $j$  (denoted by  $\rho_{i \rightarrow j}$ ).

Every graph can be defined by an algebraic expression using these four operations. For instance, an induced path on five consecutive vertices  $a, b, c, d, e$  has clique-width equal to 3 and it can be defined as follows:

$$\eta_{3,2}(3(e) \oplus \rho_{3 \rightarrow 2}(\rho_{2 \rightarrow 1}(\eta_{3,2}(3(d) \oplus \rho_{3 \rightarrow 2}(\rho_{2 \rightarrow 1}(\eta_{3,2}(3(c) \oplus \eta_{2,1}(2(b) \oplus 1(a))))))))))$$







Graph	Graph Name	Complexity	Reference
	Cross	P	[31]
	$S_{1,2,2}$	P	[30]
	$H$	P	[30] (see also Theorem 7 for a shorter proof)
	$K_{1,5}$	NPC	[27]
	$P_4 + P_2$	P	[6] (see also Theorem 4 for a more general result)
	$2P_3$	P	[7]

Table 1: Forests  $F$  for which the complexity of VERTEX COLOURING in the class  $\text{Free}(K_3, F)$  is known.

If a graph  $G$  does not contain induced subgraphs isomorphic to graphs from a set  $M$ , we say that  $G$  is  $M$ -free. The class of all  $M$ -free graphs is denoted by  $\text{Free}(M)$ , and  $M$  is called the set of forbidden induced subgraphs for this class. Note that such classes  $\mathcal{C}$  are *hereditary* in the sense that if  $G \in \mathcal{C}$  and  $v \in V(G)$  then  $G \setminus v \in \mathcal{C}$ . Many graph classes that are important from a practical or theoretical point of view can be described in terms of forbidden induced subgraphs. For instance, by definition, forests form the class of graphs without cycles, and due to König's Theorem, bipartite graphs are graphs without odd cycles. Bipartite graphs are precisely the 2-colourable graphs, and recognising 2-colourable graphs is a polynomially solvable task. However, the recognition of  $k$ -colourable graphs is an NP-complete problem for any  $k \geq 3$ .

In the present paper, we study the computational complexity of the VERTEX COLOURING problem in subclasses of triangle-free graphs. The family of these classes contains both NP-complete and polynomially solvable cases of the problem. For classes defined by a single additional forbidden induced subgraph, a summary of known results is presented in the following theorem (see also Table 1), where we also prove one more result that can easily be derived from known results.

**Theorem 1.** *Let  $F$  be a graph. If  $F$  contains a cycle or  $F = K_{1,5}$ , then the VERTEX COLOURING problem is NP-complete in the class  $\text{Free}(K_3, F)$ . If  $F$  is isomorphic to  $S_{1,2,2}, H, \text{cross}, P_4 + P_2, 2P_3$  or  $P_6$ , then the problem is polynomial-time solvable in the class  $\text{Free}(K_3, F)$ .*

*Proof.* If  $F$  contains a cycle, then the NP-completeness of the problem follows from the fact that it is NP-complete for graphs of girth at least  $k + 1$ , i.e. in the class  $\text{Free}(C_3, C_4, \dots, C_k)$ , for any fixed value of  $k$  (see e.g. [17, 21]). The NP-completeness of the problem in the class of  $(K_3, K_{1,5})$ -free graphs was shown in [27].

In [29, 30, 31] Randerath et al. showed that every graph in the following three classes is 3-colourable and that a 3-colouring can be found in polynomial time:  $\text{Free}(K_3, H)$ ,  $\text{Free}(K_3, S_{1,2,2})$ ,  $\text{Free}(K_3, \text{cross})$ . Therefore VERTEX COLOURING is polynomial-time solvable in these three classes.

The polynomial-time solvability of the problem in the class  $\text{Free}(K_3, P_4 + P_2)$  was shown in [6] and for the class  $\text{Free}(K_3, 2P_3)$ , it was proved in [7].

The conclusion that the problem is solvable for  $(K_3, P_6)$ -free graphs can be derived from two facts. First, the clique-width of graphs in this class is bounded by a constant [4]. Second, the

VERTEX COLOURING problem is solvable in polynomial time on graphs of bounded clique-width [34].  $\square$

A particular corollary of this theorem is that the VERTEX COLOURING problem is solvable in any subclass of triangle-free graphs defined by forbidding a forest with at most 5 vertices.

**Corollary 1.** *For each forest  $F$  on 5 vertices, the VERTEX COLOURING problem in the class  $Free(K_3, F)$  is solvable in polynomial time.*

*Proof.* If  $F$  contains no edge, then the problem is trivial in the class of  $Free(K_3, F)$ , since the size of graphs in this class is bounded by a constant (by Ramsey's Theorem). If  $F$  contains at least one edge, then it is an induced subgraph of at least one of the following graphs:  $H$ ,  $S_{1,2,2}$ ,  $cross$ ,  $P_6$ . Therefore  $Free(K_3, F)$  is a subclass of one of the classes  $Free(K_3, H)$ ,  $Free(K_3, S_{1,2,2})$ ,  $Free(K_3, cross)$ ,  $Free(K_3, P_6)$ , and thus the result follows from Theorem 1.  $\square$

In the subsequent sections we study subclasses of triangle-free graphs defined by forbidding forests with more than 5 vertices and prove polynomial-time solvability of the problem in many classes of this type.

### 3. $(K_3, F)$ -free graphs with $F$ containing an isolated vertex

In this section we study graph classes  $Free(K_3, F)$  with  $F$  being a forest on 6 vertices, at least one of which is isolated. Without loss of generality we may assume that  $F$  contains at least one edge, since otherwise there are only finitely many graphs in the class  $Free(K_3, F)$  (by Ramsey's Theorem). Throughout the section, an isolated vertex in  $F$  is denoted by  $v$  and the rest of the graph is denoted by  $F_0$ , i.e.  $F_0 = F - v$ .

**Lemma 1.** *Let  $F$  be a forest on 6 vertices with at least one edge and at least one isolated vertex. Then the chromatic number of any graph  $G$  in the class  $Free(K_3, F)$  is at most 4 and a 4-colouring can be found in polynomial time.*

*Proof.* Suppose that  $F_0 \neq P_3 + P_2$ . Then it is not difficult to verify that  $F_0$  is an induced subgraph of  $H$ ,  $S_{1,2,2}$  or  $cross$ . Therefore the chromatic number of  $(K_3, F_0)$ -free graphs is at most 3 (see [30, 31]). As a result, the chromatic number of any  $(K_3, F)$ -free graph is at most 4. To see this, observe that for any vertex  $x$ , the graph  $G \setminus N(x)$  is 3-colourable, while  $N(x)$  is an independent set.

Now let  $F_0 = P_3 + P_2$ . Let  $ab$  be an edge in a  $(K_3, F)$ -free graph  $G$ . (If  $G$  has no edges, the chromatic number is 1 and we are done.) We will show that  $G_0 := G - (N(a) \cup N(b))$  is a bipartite graph. Notice that since  $G$  is  $K_3$ -free, both  $N(a)$  and  $N(b)$  induce an independent set. We may assume that at least one of  $N(a) \setminus \{b\}$ ,  $N(b) \setminus \{a\}$  is non-empty (otherwise each connected component of  $G$  has at most two vertices and thus  $G$  is trivially 4-colourable). Obviously  $G_0$  is  $C_k$ -free for any odd  $k \geq 7$ , since otherwise  $G$  contains a  $P_3 + P_2$ . Therefore we may assume that  $G_0$  contains a  $C_5$  (otherwise  $G_0$  is bipartite). Let  $c \in N(b) \setminus \{a\}$ . Since  $G$  is triangle-free,  $c$  can have at most two neighbours in the  $C_5$ , and if it has two, they must be non-consecutive vertices of the  $C_5$ . Thus  $c$  is non-adjacent to at least three vertices in  $C_5$ , say  $d, e, f$ , such that  $G[d, e, f]$  is isomorphic to  $P_2 + K_1$ . But now  $G[a, b, c, d, e, f]$  is isomorphic to  $P_3 + P_2 + K_1$ , which is a forbidden graph for  $G$ . This contradiction shows that  $G_0$  has no odd cycles, i.e.  $G_0$  is a bipartite graph. If  $V_0^1, V_0^2$  are two colour classes of  $G_0$ , then  $N(a), N(b), V_0^1, V_0^2$  are four colour classes of  $G$ .  $\square$











Graph	Graph Name
	Empty
	$P_2 + 4K_1$
	$P_3 + 3K_1$
	$2P_2 + 2K_1$
	$P_3 + P_2 + K_1$
	$K_{1,3} + 2K_1$
	$P_4 + 2K_1$
	$S_{1,1,2} + K_1$
	$K_{1,4} + K_1$
	$P_5 + K_1$

Table 2: Forests  $F$  for which polynomial-time solvability of VERTEX COLOURING in the class  $\text{Free}(K_3, F)$  follows from Theorem 2.

In view of Lemma 1 and the polynomial-time solvability of 2-COLOURABILITY, all we have to do to solve the problem in the classes under consideration is to develop a tool for deciding 3-colourability in polynomial time. For this, we use a result from [33]. A set  $D \subseteq V(G)$  is dominating in  $G$  if every vertex  $x \in V(G) \setminus D$  has at least one neighbour in  $D$ .

**Lemma 2.** ([33]) *For a graph  $G = (V, E)$  with a dominating set  $D$ , we can decide 3-colourability and determine a 3-colouring in time  $O(3^{|D|}|E|)$ .*

If a graph  $G \in \text{Free}(K_3, F)$  is  $F_0$ -free, then by Corollary 1, the problem is solvable for  $G$  in polynomial time. If  $G$  has an induced  $F_0$ , then the vertices of  $F_0$  form a dominating set in  $G$ . Summarising the above discussion, we obtain the following result.

**Theorem 2.** *Let  $F$  be a forest on 6 vertices with at least one isolated vertex. Then the VERTEX COLOURING problem is polynomial-time solvable in the class  $\text{Free}(K_3, F)$ .*

All forests satisfying the conditions of Theorem 2 are listed in Table 2.

## 4. Graphs of bounded clique-width

In Section 2, we mentioned that the polynomial-time solvability of the VERTEX COLOURING problem in the class of  $(K_3, P_6)$ -free graphs follows from the fact that the clique-width of graphs in this class is bounded by a constant. In the present section we use that same idea to solve the problem in the following two classes:  $\text{Free}(K_3, S_{1,1,3})$  and  $\text{Free}(K_3, K_{1,3} + K_2)$ .

This means that in order to prove polynomial-time solvability of the VERTEX COLOURING problem in the classes  $\text{Free}(K_3, S_{1,1,3})$  and  $\text{Free}(K_3, K_{1,3} + K_2)$ , all we have to do is to show

that the clique-width of graphs in these classes is bounded. In our proofs we use the following helpful facts:

- Fact 1:* The clique-width of graphs with vertex degree at most 2 is bounded by 4 (see e.g. [10]).
- Fact 2:* The clique-width of  $S_{1,1,3}$ -free bipartite graphs [24] and  $(K_{1,3} + K_2)$ -free bipartite graphs [26] is bounded by a constant.
- Fact 3:* For a constant  $k$  and a class of graphs  $X$ , let  $X_{[k]}$  denote the class of graphs obtained from graphs in  $X$  by deleting at most  $k$  vertices. Then the clique-width of graphs in  $X$  is bounded if and only if the clique-width of graphs in  $X_{[k]}$  is bounded [25].
- Fact 4:* For a graph  $G$ , the subgraph complementation is the operation that consists of complementing the edges in an induced subgraph of  $G$ . Also, given two disjoint subsets of vertices in  $G$ , the bipartite subgraph complementation is the operation which consists of complementing the edges between the subsets. For a constant  $k$  and a class of graphs  $X$ , let  $X^{(k)}$  be the class of graphs obtained from graphs in  $X$  by applying at most  $k$  subgraph complementations or bipartite subgraph complementations. Then the clique-width of graphs in  $X^{(k)}$  is bounded if and only if the clique-width of graphs in  $X$  is bounded [19].
- Fact 5:* The clique-width of graphs in a hereditary class  $X$  is bounded if and only if it is bounded for connected graphs in  $X$  (see e.g. [10]).

Facts 2 and 5 allow us to reduce the problem to connected non-bipartite graphs in the classes  $Free(K_3, S_{1,1,3})$  and  $Free(K_3, K_{1,3} + K_2)$ , i.e. to connected graphs in these classes that contain an odd induced cycle of length at least five.

**Lemma 3.** *Let  $G$  be a connected  $(K_3, S_{1,1,3})$ -free graph containing an odd induced cycle  $C$  of length at least 7. Then  $G = C$ .*

*Proof.* Let  $C = v_1 - v_2 - \dots - v_{2k} - v_{2k+1} - v_1$  be an induced cycle in  $G$ , of length  $2k + 1$ ,  $k \geq 3$ . Suppose that there exists a vertex  $v \in V(G) \setminus V(C)$ , which is adjacent to a vertex of  $C$ . Without loss of generality, we may assume that  $v$  is adjacent to  $v_1$ . We claim that  $v$  is non-adjacent to  $v_4$ . Otherwise, since  $G$  is  $K_3$ -free, it follows that  $v$  is non-adjacent to  $v_{2k+1}, v_2, v_3, v_5$ . But now  $G[v_4, v_3, v_5, v, v_1, v_{2k+1}]$  is isomorphic to  $S_{1,1,3}$ , a contradiction. Thus  $v$  is non-adjacent to  $v_4$ . This implies that  $v$  is adjacent to  $v_3$ , since otherwise  $G[v_1, v, v_{2k+1}, v_2, v_3, v_4]$  would be isomorphic to  $S_{1,1,3}$ . Now repeating the same argument with  $v_3$  playing the role of  $v_1$ , we conclude that  $v$  is adjacent to  $v_5$ . But now  $G[v_1, v_2, v_{2k+1}, v, v_5, v_4]$  is isomorphic to  $S_{1,1,3}$ . This contradiction shows that  $G = C$ .  $\square$

**Lemma 4.** *Let  $G$  be a connected  $(K_3, K_{1,3} + K_2)$ -free graph containing an odd induced cycle  $C_{2k+1}$ ,  $k \geq 3$ . If  $k \geq 4$  then  $G = C_{2k+1}$  and if  $k = 3$  then  $|V(G)| \leq 28$ .*

*Proof.* Let  $C = v_1 - v_2 - \dots - v_{2k} - v_{2k+1} - v_1$  be an induced cycle of length  $2k + 1$  in  $G$ . First consider the case when  $k \geq 4$ . Suppose that there exists a vertex  $v \in V(G) \setminus V(C)$  which is adjacent to some vertex of  $C$ , say  $v_1$ . Since  $G$  is  $K_3$ -free, it follows that  $v$  is non-adjacent to  $v_{2k+1}, v_2$ . We claim that for every pair of vertices  $\{v_i, v_{i+1}\}$ , with  $i = 4, 5, \dots, 2k - 2$ , vertex  $v$  is adjacent to exactly one of  $v_i, v_{i+1}$ . Clearly, since  $G$  is  $K_3$ -free,  $v$  has a non-neighbour in  $\{v_i, v_{i+1}\}$ . If  $v$  has no neighbours in  $\{v_i, v_{i+1}\}$ , then  $G[v_1, v_2, v, v_{2k+1}, v_i, v_{i+1}]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction. Now suppose that  $v$  is adjacent to  $v_4$ . Then it follows that  $v$  is complete to  $\{v_4, v_6, \dots, v_{2k-2}\}$  and anticomplete to  $\{v_5, v_7, \dots, v_{2k-1}\}$ . But then  $G[v_{2k-2}, v, v_{2k-3}, v_{2k-1}, v_2, v_3]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction. Thus we may

assume that  $v$  is adjacent to  $v_5$ . This implies that  $v$  is complete to  $\{v_5, v_7, \dots, v_{2k-1}\}$  and anticomplete to  $\{v_4, v_6, \dots, v_{2k-2}\}$ . It follows that  $v$  is non-adjacent to  $v_{2k}$ , since  $G$  is  $K_3$ -free. But now  $G[v_5, v_4, v_6, v, v_{2k}, v_{2k+1}]$  is isomorphic to  $K_{1,3} + K_2$ . This contradiction shows that  $G = C$ .

Now consider the case where  $k = 3$  and let  $v \in V(G) \setminus V(C)$  be adjacent to  $v_1$ . As before,  $v$  has exactly one neighbour in  $\{v_4, v_5\}$ . By symmetry, we may assume that  $v$  is adjacent to  $v_4$ . Hence  $v$  has no neighbours in  $\{v_2, v_3, v_5, v_7\}$ . Finally, observe that  $v$  is non-adjacent to  $v_6$ , since otherwise  $G[v_6, v_5, v_7, v, v_2, v_3]$  would be isomorphic to  $K_{1,3} + K_2$ . Therefore we conclude that each vertex  $v \in V(G) \setminus V(C)$  that is adjacent to some vertex  $v_i \in V(C)$ , is either complete to  $\{v_i, v_{i+3}\}$  and anticomplete to  $V(C) \setminus \{v_i, v_{i+3}\}$ , or complete to  $\{v_i, v_{i+4}\}$  and anticomplete to  $V(C) \setminus \{v_i, v_{i+4}\}$  (here subscripts are taken modulo 7).

Let  $U_j$  denote the set of vertices at distance  $j$  from the cycle. We claim that:

- $|U_1| \leq 7$ . Indeed, if  $|U_1| > 7$ , then there exist two vertices  $z, z' \in U_1$  that are complete to  $\{v_i, v_{i+3}\}$  (and thus anticomplete to  $V(C) \setminus \{v_i, v_{i+3}\}$ ) for some value of  $i$ . Since  $G$  is  $K_3$ -free,  $z, z'$  must be non-adjacent. But then  $G[v_i, z, z', v_{i+1}, v_{i+4}, v_{i+5}]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction.
- *Each vertex of  $U_1$  has at most one neighbour in  $U_2$ .* Indeed, suppose a vertex  $x \in U_1$  has two neighbours  $y, z \in U_2$ , and without loss of generality let  $x$  be complete to  $\{v_i, v_{i+3}\}$  (and thus anticomplete to  $V(C) \setminus \{v_i, v_{i+3}\}$ ). Since  $G$  is  $K_3$ -free, it follows that  $y, z$  are non-adjacent. But then  $G[x, y, z, v_i, v_{i+4}, v_{i+5}]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction.
- *Each vertex of  $U_2$  has at most one neighbour in  $U_3$ ,* which can be proved by analogy with the previous claim.
- *For each  $i \geq 4$ ,  $U_i$  is empty.* Indeed, assume without loss of generality that  $U_4 \neq \emptyset$  and let  $u_4, u_3, u_2, u_1$  be a path from  $U_4$  to  $C$  with  $u_j \in U_j$  and  $u_1$  being adjacent to  $v_i$ . Then  $G[v_i, v_{i-1}, v_{i+1}, u_1, u_3, u_4]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction.

From the above claims we conclude that  $V(G) = V(C) \cup U_1 \cup U_2 \cup U_3$ ,  $|U_3| \leq |U_2| \leq |U_1| \leq 7 = |V(C)|$ , and therefore  $|V(G)| \leq 28$ .  $\square$

Thus Lemmas 3 and 4 and Fact 2 further reduce the problem to graphs containing a  $C_5$ .

**Lemma 5.** *If  $G$  is a connected  $(K_3, S_{1,1,3})$ -free graph containing a  $C_5$ , then the clique-width of  $G$  is bounded by a constant.*

*Proof.* Let  $G$  be a connected  $(K_3, S_{1,1,3})$ -free graph and let  $C = v_1 - v_2 - v_3 - v_4 - v_5 - v_1$  be an induced cycle of length five in  $G$ . If  $G = C$  then the clique-width of  $G$  is at most 4 (Fact 1). Therefore we may assume that there exists at least one vertex  $v \in V(G) \setminus V(C)$ . Since  $G$  is  $K_3$ -free,  $v$  can be adjacent to at most two vertices of  $C$ , and if  $v$  has two neighbours in  $C$ , they must be non-consecutive vertices of the cycle. We denote the set of vertices in  $V(G) \setminus V(C)$  that have exactly  $i$  neighbours in  $C$  by  $N_i$ ,  $i \in \{0, 1, 2\}$ . Also, for  $i = 1, \dots, 5$ , we let  $V_i$  denote the set of vertices in  $N_2$  adjacent to  $v_{i-1}, v_{i+1} \in V(C)$  (throughout the proof subscripts  $i$  are taken modulo 5). We call two different sets  $V_i$  and  $V_j$  *consecutive* if  $v_i$  and  $v_j$  are consecutive vertices of  $C$ , and *opposite* otherwise. Finally, we call  $V_i$  *large* if  $|V_i| \geq 2$ , and *small* otherwise. The proof of the lemma will be given through a series of claims.

- (1) *Each  $V_i$  is an independent set.* This immediately follows from the fact that  $G$  is  $K_3$ -free.

- (2)  $N_0$  is an independent set. Indeed, suppose  $xy$  is an edge connecting two vertices  $x, y \in N_0$ , and, without loss of generality, let  $y$  be adjacent to a vertex  $z \in N_1 \cup N_2$ . Let  $v_i \in V(C)$  be a neighbour of  $z$ . Since  $G$  is  $K_3$ -free,  $z$  is non-adjacent to  $x, v_{i-1}, v_{i+1}$ . But then  $G[v_i, v_{i-1}, v_{i+1}, z, y, x]$  is isomorphic to  $S_{1,1,3}$ , a contradiction.
- (3) Any vertex  $x \in N_1 \cup N_2$  has at most one neighbour in  $N_0$ . Suppose  $x \in N_1 \cup N_2$  is adjacent to  $z, z' \in N_0$ , and let  $v_i \in V(C)$  be a neighbour of  $x$ . Since  $G$  is  $K_3$ -free, it follows that  $x$  is non-adjacent to  $v_{i-1}, v_{i+1}$ . Furthermore,  $x$  is adjacent to at most one of  $v_{i-2}, v_{i+2}$ . By symmetry we may assume that  $x$  is non-adjacent to  $v_{i-2}$ . But now  $G[x, z, z', v_i, v_{i-1}, v_{i-2}]$  is isomorphic to  $S_{1,1,3}$ , a contradiction.
- (4)  $|N_1| \leq 5$ . Indeed, if there are two vertices  $x, x' \in N_1$  which are adjacent to the same vertex  $v_i \in V(C)$ , then  $G[v_i, x, x', v_{i+1}, v_{i+2}, v_{i+3}]$  is isomorphic to  $S_{1,1,3}$ , a contradiction.
- (5) If  $V_i$  and  $V_j$  are opposite sets, then no vertex of  $V_i$  is adjacent to a vertex of  $V_j$ . This immediately follows from the fact that  $G$  is  $K_3$ -free.
- (6) If  $V_i$  and  $V_j$  are consecutive, then every vertex  $x \in V_i$  has at most one non-neighbour in  $V_j$ . Suppose  $x \in V_i$  has two non-neighbours  $y, y' \in V_j$ . By symmetry, we may assume that  $j = i + 1$ . But now, by Claim (1),  $G[v_{i-3}, y, y', v_{i-2}, v_{i-1}, x]$  is isomorphic to  $S_{1,1,3}$ , a contradiction.
- (7) If  $V_i$  and  $V_j$  are two opposite large sets, then no vertex in  $N_0$  has a neighbour in  $V_i \cup V_j$ . Without loss of generality assume that  $i = 1$  and  $j = 4$ , and suppose for a contradiction that a vertex  $x \in N_0$  has a neighbour  $y \in V_1$ . If  $x$  is non-adjacent to some vertex  $z \in V_4$ , then  $G[v_3, v_4, z, v_2, y, x]$  is isomorphic to  $S_{1,1,3}$ , a contradiction. Therefore  $x$  is complete to  $V_4$ . But now, by Claim (1),  $G[x, z, z', y, v_2, v_1]$  with  $z, z' \in V_4$  is isomorphic to  $S_{1,1,3}$ , a contradiction.

Since  $G$  is connected and  $N_0$  is an independent set, every vertex of  $N_0$  has a neighbour in  $N_1 \cup N_2$ . Let  $V_0$  be the set of vertices in  $N_0$ , all of whose neighbours belong to the large sets  $V_i$ . Let  $G_0$  be the subgraph of  $G$  induced by  $V_0$  and the large sets. From Claims (2),(3) and (4), it follows that at most 25 vertices of  $G$  do not belong to  $G_0$ . Therefore, by Fact 3, the clique-width of  $G$  is bounded if and only if it is bounded for  $G_0$ . We may assume that  $G$  has at least one large set, since otherwise  $G_0$  is empty. We will show that  $G_0$  has bounded clique-width by examining all possible combinations of large sets.

*Case 1:* Suppose that for every large set  $V_i$  there is an opposite large set  $V_j$ . Then it follows from Claim (7) that  $V_0 = \emptyset$ . In order to see that  $G_0$  has bounded clique-width, we complement the edges between every pair of consecutive large sets. By Claims (5) and (6), the resulting graph has maximum degree at most 2. From Fact 1 it follows that this graph is of bounded clique-width, and therefore, applying Fact 4,  $G_0$  has bounded clique-width.

Case 1 allows us to assume that  $G$  contains a large set such that the opposite sets are small. Without loss of generality we let  $V_1$  be large, and  $V_3$  and  $V_4$  be small. The rest of the proof is based on the analysis of the size of the sets  $V_2$  and  $V_5$ .

*Case 2:*  $V_2$  and  $V_5$  are large. Then, by Claims (1), (2), (5), and (7),  $G_0$  is a bipartite graph with bipartition  $(V_1, V_2 \cup V_5 \cup V_0)$ . Therefore by Fact 2,  $G_0$  has bounded clique-width.

*Case 3:*  $V_2$  and  $V_5$  are small. Then by Claims (1) and (2),  $G_0$  is a bipartite graph with bipartition  $(V_1, V_0)$ , and therefore, by Fact 2,  $G_0$  has bounded clique-width.

*Case 4:*  $V_2$  is large and  $V_5$  is small, i.e.  $G_0$  is induced by  $V_0 \cup V_1 \cup V_2$ . Consider a vertex  $x \in V_0$  that has a neighbour  $y \in V_1$  and a neighbour  $z \in V_2$ . Then  $y$  and  $z$  are non-adjacent



(since  $G$  is  $K_3$ -free) and therefore, by Claim (6),  $y$  is complete to  $V_2 \setminus \{z\}$  and  $z$  is complete to  $V_1 \setminus \{y\}$ . From the  $K_3$ -freeness of  $G$  it follows that  $x$  is anticomplete to  $(V_1 \cup V_2) \setminus \{y, z\}$ .

Let  $V'_0$  denote the vertices of  $V_0$  that have neighbours both in  $V_1$  and  $V_2$ , and let  $V'_i$  ( $i = 1, 2$ ) denote the vertices of  $V_i$  that have neighbours in  $V'_0$ . Also, let  $V''_i = V_i - V'_i$  for  $i = 0, 1, 2$ , and  $G'_0 = G_0[V'_0 \cup V'_1 \cup V'_2]$ ,  $G''_0 = G_0[V''_0 \cup V''_1 \cup V''_2]$ .

By Claim (3),  $V''_0$  is anticomplete to  $V'_1 \cup V'_2$ . Also, it follows from the above discussion that  $V'_0$  is anticomplete to  $V''_1 \cup V''_2$ , that  $V'_1$  is complete to  $V''_2$ , and that  $V'_2$  is complete to  $V''_1$ . Therefore by complementing the edges between  $V'_1$  and  $V''_2$ , and between  $V'_2$  and  $V''_1$ , we disconnect  $G'_0$  from  $G''_0$ . The graph  $G''_0$  is a bipartite graph, since every vertex of  $V''_0$  has neighbours either in  $V''_1$  or in  $V''_2$  but not in both. Thus it follows from Fact 2 that  $G''_0$  has bounded clique-width. To see that  $G'_0$  has bounded clique-width, we complement the edges between  $V'_1$  and  $V'_2$ . This operation transforms  $G'_0$  into a collection of disjoint triangles. Therefore the clique-width of  $G'_0$  is bounded. Now it follows from Fact 4 that  $G_0$  has bounded clique-width.  $\square$

Similarly to Lemma 5, one can prove the following result.

**Lemma 6.** *If  $G$  is a connected  $(K_3, K_{1,3} + K_2)$ -free graph containing a  $C_5$ , then the clique-width of  $G$  is bounded by a constant.*

*Proof.* The proof is similar to the proof of Lemma 5. Let  $G$  be a connected  $(K_3, K_{1,3} + K_2)$ -free graph and let  $C = v_1 - v_2 - v_3 - v_4 - v_5 - v_1$  be an induced cycle of length five in  $G$ . If  $G = C$  then the clique-width of  $G$  is at most 4 (Fact 1). Therefore we may assume that there exists at least one vertex  $v \in V(G) \setminus V(C)$ . Since  $G$  is  $K_3$ -free,  $v$  can be adjacent to at most two vertices in  $C$ , and if  $v$  has two neighbours in  $C$ , they must be non-consecutive vertices of  $C$ . We denote the set of vertices in  $V(G) \setminus V(C)$  that have exactly  $i$  neighbours in  $C$  by  $N_i$ ,  $i \in \{0, 1, 2\}$ . Also, for  $i = 1, \dots, 5$ , we let  $V_i$  denote the set of vertices in  $N_2$  adjacent to  $v_{i-1}, v_{i+1} \in V(C)$  (throughout the proof subscripts  $i$  are taken modulo 5). We call two different sets  $V_i$  and  $V_j$  *consecutive* if  $v_i$  and  $v_j$  are consecutive vertices of  $C$ , and *opposite* otherwise. Finally, we call  $V_i$  *large* if  $|V_i| \geq 7$ , and *small* otherwise. The proof of the lemma will be given through a series of claims.

- (1) *Each  $V_i$  is an independent set.* This immediately follows from the fact that  $G$  is  $K_3$ -free.
- (2)  $|N_1| \leq 10$ . Indeed, if there are three vertices  $x, x', x'' \in N_1$  which are adjacent to the same vertex  $v_i \in V(C)$ , then  $G[v_i, x, x', x'', v_{i+2}, v_{i+3}]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction (notice that  $x, x', x''$  are pairwise non-adjacent since  $G$  is  $K_3$ -free).
- (3) *If  $V_i$  and  $V_j$  are opposite sets, then no vertex of  $V_i$  is adjacent to a vertex of  $V_j$ .* This immediately follows from the fact that  $G$  is  $K_3$ -free.
- (4) *If  $V_i$  and  $V_j$  are consecutive, then every vertex of  $V_i$  has at most two non-neighbours in  $V_j$ .* By symmetry, we may assume  $j = i + 1$ . Suppose  $x \in V_i$  has three non-neighbours  $y, y', y'' \in V_j$ . Then by Claim (1),  $G[v_{i+2}, y, y', y'', v_{i-1}, x]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction.
- (5) *Each vertex  $w \in N_0$  is adjacent to at most two vertices in a set  $V_i$ .* Indeed, if  $w \in N_0$  were adjacent to three vertices  $z, z', z'' \in V_i$ , then by Claim (1),  $G[w, z, z', z'', v_{i+2}, v_{i+3}]$  would be isomorphic to  $K_{1,3} + K_2$ , a contradiction.
- (6)  *$N_0$  induces a graph of vertex degree at most two. Moreover, if there exists at least one large set, then  $N_0$  is an independent set.* If a vertex  $w \in N_0$  has three neighbours  $z, z', z'' \in N_0$ ,

then  $G[w, z, z', z'', v_1, v_2]$  is isomorphic to  $K_{1,3} + K_2$ , since  $G$  is  $K_3$ -free. This contradiction proves the first part of the claim. To prove the second part, assume  $V_i$  is a large set and suppose that two vertices  $w, w' \in N_0$  are adjacent. Since  $V_i$  is large, it follows from Claim (5) that there exist at least three vertices  $z, z', z'' \in V_i$  which are anticomplete to  $\{w, w'\}$ . But now, by Claim (1),  $G[v_{i-1}, z, z', z'', w, w']$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction.

- (7) *If  $V_i$  and  $V_j$  are two opposite large sets, then no vertex in  $N_0$  has a neighbour in  $V_i \cup V_j$ .* Without loss of generality, assume that  $i = 1$  and  $j = 4$ , and suppose for contradiction, that a vertex  $w \in N_0$  has a neighbour  $y \in V_1$ . Since  $V_4$  is large and since  $w$  is adjacent to at most two vertices in  $V_4$  (Claim (5)), it follows that  $w$  has two non-neighbours  $z, z' \in V_4$ . But now, by Claim (1),  $G[v_3, v_4, z, z', w, y]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction.
- (8) *Any vertex  $x \in N_1 \cup N_2$  has at most two neighbours in  $N_0$ .* Indeed, for any vertex  $x \in N_1 \cup N_2$  there exist at least two consecutive vertices of  $C$  non-adjacent to  $x$ . These two vertices together with  $x$  and any three neighbours of  $x$  in  $N_0$  would induce a  $K_{1,3} + K_2$ .

From Claim (6) and Fact 1 we know that the clique-width of  $G[N_0]$  is at most 4. Therefore, if all sets  $V_i$  are small, then  $G$  has bounded clique-width, which follows from Claim (2) and Fact 3.

From now on, we assume that there exists at least one large set  $V_i$ . This implies that  $N_0$  is an independent set (Claim (6)). Since  $G$  is connected, every vertex of  $N_0$  has a neighbour in  $N_1 \cup N_2$ . Let  $V_0$  be the set of vertices in  $N_0$ , all of whose neighbours belong to the large sets  $V_i$ . Let  $G_0$  be the subgraph of  $G$  induced by  $V_0$  and the large sets. From Claims (2) and (8), it follows that the size of  $V(G) \setminus V(G_0)$  is bounded. Therefore, by Fact 3, the clique-width of  $G$  is bounded if and only if it is bounded for  $G_0$ . We will show that  $G_0$  has bounded clique-width by examining all possible combinations of large sets.

*Case 1:* Suppose that for every large set  $V_i$  there is an opposite large set  $V_j$ . Then it follows from Claim (7) that  $V_0 = \emptyset$ . Let  $V_{i-1}$  and  $V_{i+1}$  be large sets. We claim that every vertex  $x \in V_i$  is complete to  $V_{i-1} \cup V_{i+1}$ . For suppose not: let  $y \in V_{i+1}$  be a non-neighbour of  $x$ . Since  $V_{i-1}$  is large, it follows from Claim (4) that  $x$  has at least two neighbours  $z, z' \in V_{i-1}$ . But now, by Claims (1) and (3),  $G[x, z, z', v_{i-1}, v_{i+2}, y]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction. In order to see that  $G_0$  is of bounded clique-width, we complement the edges between every pair of consecutive large sets. From Claim (4) and the discussion above, it follows that the resulting graph is of vertex degree at most 2. From Fact 1 it follows that this graph has bounded clique-width, and therefore applying Fact 4,  $G_0$  has bounded clique-width.

Case 1 allows us to assume that  $G$  contains a large set such that the opposite sets are small. Without loss of generality we let  $V_1$  be large, and  $V_3$  and  $V_4$  be small. The rest of the proof is based on the analysis of the size of the sets  $V_2$  and  $V_5$ .

*Case 2:*  $V_2$  and  $V_5$  are large. Then by Claims (1),(3),(6) and (7),  $G_0$  is a bipartite graph with bipartition  $(V_1, V_2 \cup V_5 \cup V_0)$ . Therefore by Fact 2,  $G_0$  has bounded clique-width.

*Case 3:*  $V_2$  and  $V_5$  are small. Then, by Claims (1) and (6),  $G_0$  is a bipartite graph with bipartition  $(V_1, V_0)$ , and therefore, by Fact 2,  $G_0$  has bounded clique-width.

*Case 4:*  $V_2$  is large and  $V_5$  is small, i.e.  $G_0$  is induced by  $V_0 \cup V_1 \cup V_2$ . Consider a vertex  $w \in V_0$  that is adjacent to some vertex  $x \in V_1$  (resp.  $y \in V_2$ ). We claim that

- (9)  *$w$  is complete to all the non-neighbours of  $x$  in  $V_2$  (resp. of  $y$  in  $V_1$ ).* By symmetry we let  $x$  belong to  $V_1$  and for contradiction, suppose that  $w$  is non-adjacent to a non-neighbour  $z \in V_2$  of  $x$ . Since  $V_1$  is large, it follows from Claims (4) and (5) that  $V_1$  contains three vertices  $x_1, x_2, x_3$  adjacent to  $z$  and non-adjacent to  $w$ . But now, by Claim (1),  $G_0[z, x_1, x_2, x_3, x, w]$  is isomorphic to  $K_{1,3} + K_2$ , a contradiction.

In order to see that  $G_0$  has bounded clique-width, we complement the edges between  $V_1$  and  $V_2$ . Let us denote the resulting graph by  $G'_0$ . From Facts 4 and 5, it follows that it is enough to show that each connected component of  $G'_0$  has bounded clique-width. Let  $C^*$  be a component of  $G'_0$ . If  $C^*$  has maximum vertex degree at most two, then  $C^*$  has bounded clique-width by Fact 1. So we may assume that  $C^*$  contains a vertex  $x$  of degree at least three.

First suppose that  $x \in V_1 \cup V_2$ . By symmetry, we may assume  $x \in V_1$ . We know that in the graph  $G'_0$  vertex  $x$  has at most two neighbours in  $V_0$  (Claim (8)) and at most two neighbours in  $V_2$  (Claim (4)). Therefore,  $x$  is adjacent to some vertex  $y \in V_2$  and to some vertex  $w \in V_0$  in the graph  $G'_0$ . Since in the graph  $G_0$  vertex  $y$  is a non-neighbour of  $x$ , it follows from Claim (9) that  $y, w$  are adjacent. Repeating this argument, we conclude that  $w$  is complete to  $V(C^*) \cap (V_1 \cup V_2)$ . By Claim (5), we obtain that  $|V(C^*) \cap (V_1 \cup V_2)| \leq 4$ . Since each vertex in  $V_1 \cup V_2$  has at most two neighbours in  $V_0$  (Claim (8)), we finally conclude that  $|V(C^*)| \leq 12$  and therefore the clique-width of  $C^*$  is at most 12.

Now suppose that  $x \in V_0$  and all vertices of  $C^*$  in  $V_1 \cup V_2$  have degree at most 2. Since  $V_0$  is an independent set, all neighbours of  $x$  are in  $V_1 \cup V_2$ . Let  $z, z', z''$  denote three neighbours of  $x$ . Without loss of generality we may assume that  $z, z' \in V_1$  and  $z'' \in V_2$  (Claim (5)). Since  $G$  is  $K_3$ -free, it follows that in  $C^*$ , vertex  $z''$  is adjacent to both  $z, z'$ . But now  $z'' \in V_2$  has degree at least three, contradicting our assumption.  $\square$

From Lemmas 3, 4, 5, and 6, we derive the main result of this section.

**Theorem 3.** *The clique-width of  $(K_3, S_{1,1,3})$ -free graphs and  $(K_3, K_{1,3} + K_2)$ -free graphs is bounded by a constant and therefore the VERTEX COLOURING problem is polynomial-time solvable in these classes of graphs.*

## 5. $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graphs

In this section we prove polynomial-time solvability of the problem in the class of  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graphs. It is not difficult to see that both  $S_{1,2,3}$  and  $S_{1,1,2} + P_2$  contain  $P_4 + P_2$  as an induced subgraph. Therefore, our result generalizes a recent solution of the problem in the class of  $(K_3, P_4 + P_2)$ -free graphs [6]. Our result is based on a sequence of lemmas.

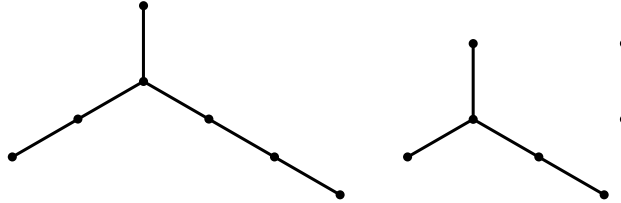


Figure 1: The graphs  $S_{1,2,3}$  and  $S_{1,1,2} + P_2$ .

**Lemma 7.** *Let  $G$  be a  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graph. Then the chromatic number of  $G$  is at most 4 and a 4-colouring of  $G$  can be found in polynomial time.*

*Proof.* We may assume  $G$  is connected and contains an edge  $ab$ . Note that since  $G$  is  $K_3$ -free,  $G[N(a) \cup N(b)]$  is a bipartite graph. Let  $X = V(G) \setminus (N(a) \cup N(b))$ . We will now show that  $G[X]$  is bipartite, in which case  $G$  is 4-colourable. Indeed, suppose for contradiction that  $G[X]$  is not bipartite. Then, since it is  $K_3$ -free, it must contain an induced odd cycle  $v_1 - \dots - v_{2k+1} - v_1$  with  $k \geq 2$ .

Let  $w_1, w_2, \dots, w_q$  be a shortest path from this cycle to  $a$ , with  $w_q = a$  and  $w_1 = v_i$  for some  $i \in \{1, \dots, 2k + 1\}$ . If  $q = 3$  then  $w_2 \in N(a) \setminus \{b\}$ . In this case let  $w_4 = b$ .

Vertex  $w_2$  cannot be adjacent to  $v_{i-1}$  or  $v_{i+1}$  since  $G$  is  $K_3$ -free. But now  $w_2$  must be adjacent to  $v_{i+2}$  otherwise  $G[v_i, v_{i-1}, v_{i+1}, v_{i+2}, w_2, w_3, w_4]$  would be isomorphic to  $S_{1,2,3}$ . Since vertex  $v_i$  was chosen arbitrarily, we can repeat this argument  $k$  times to find that  $w_2$  must be adjacent to 2 consecutive vertices in the cycle. But this cannot happen, since  $G$  is  $K_3$ -free. This contradiction completes the proof.  $\square$

Lemma 7 reduces VERTEX COLOURING in the class of  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graphs to 3-COLOURABILITY. We now prove some lemmas to help solve this problem.

**Lemma 8.** *Let  $G$  be a connected  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graph containing an odd induced cycle  $C$  of length at least 9. Then  $G = C$ .*

*Proof.* Let  $C = v_1 - v_2 - \dots - v_{2k+1}$  be an induced odd cycle of length at least 9 in  $G$ . Let  $x$  be adjacent to some vertex  $v_i$  on  $C$ . Then obviously it is adjacent to neither  $v_{i-1}$  nor  $v_{i+1}$ , since the graph is  $K_3$ -free. If in addition it is non-adjacent to  $v_{i-2}$ , then the subgraph of  $G$  induced by  $v_i, v_{i+1}, v_{i-1}, v_{i-2}, x, v_{i+3}, v_{i+4}$  is either isomorphic to  $S_{1,2,3}$  (if  $x$  has a neighbour in  $\{v_{i+3}, v_{i+4}\}$ ) or to  $S_{1,1,2} + P_2$  (if  $x$  has no neighbour in  $\{v_{i+3}, v_{i+4}\}$ ). Therefore,  $x$  is adjacent to  $v_{i-2}$ . But  $v_i$  was an arbitrary vertex of the cycle, so as in the proof of Lemma 7, by iterating this argument  $k$  times, we find that  $G$  must contain a  $K_3$ , which is a contradiction.  $\square$

**Lemma 9.** *Let  $G$  be a connected  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graph containing an induced cycle  $C$  of length 7. Then  $C$  is dominating.*

*Proof.* Suppose  $G$  is connected and contains an induced cycle  $C = v_1 - v_2 - v_3 - v_4 - v_6 - v_7 - v_1$ . If  $C$  is not dominating then there must exist vertices  $x$  and  $y$  such that  $y$  is not adjacent to any vertex of the cycle and  $x$  is adjacent to both  $y$  and some vertex of the cycle, say  $v_1$ .  $x$  is non-adjacent to  $v_2$  and  $v_7$  since  $G$  is  $K_3$ -free. So  $x$  must be adjacent to  $v_4$  or  $v_5$ , otherwise  $G[v_1, v_2, v_7, x, y, v_4, v_5]$  would be isomorphic to  $S_{1,1,2} + P_2$ . Without loss of generality, assume that  $x$  is adjacent to  $v_4$ . Since  $G$  is  $K_3$ -free,  $x$  is non-adjacent to  $v_3$  and  $v_5$ . Now,  $x$  must be adjacent to  $v_6$ , otherwise  $G[v_1, x, v_2, v_3, v_7, v_6, v_5]$  would be isomorphic to  $S_{1,2,3}$ . But then  $G[v_6, v_5, v_7, x, y, v_2, v_3]$  is isomorphic to  $S_{1,1,2} + P_2$ . This contradiction leads to the conclusion that such vertices  $x$  and  $y$  cannot exist and thus  $C$  is dominating.  $\square$

Let  $B$  be a connected bipartite induced subgraph of a graph  $G$  with at least 3 vertices. We say that the vertices in one part of  $B$  are *odd* and those in the other part are *even*. If two vertices are in the same part of  $B$ , we say they have the same *parity*. The following lemma is an easy observation.

**Lemma 10.** *Suppose a graph  $G$  has a connected bipartite induced subgraph  $B$ ,  $|V(B)| \geq 3$ , and that for every vertex  $x \notin B$ ,  $x$  is either complete or anticomplete to the odd vertices in  $B$  and is either complete or anticomplete to the even vertices in  $B$ . Then all vertices of  $B$  except any two adjacent vertices can be deleted from  $G$  and the new graph has a 3-colouring if and only if  $G$  does.*

**Lemma 11.** *Let  $G$  be a connected  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graph containing an induced cycle  $C$  of even length  $k \geq 8$ . If a vertex  $x$  has a neighbour on the cycle, then  $x$  is adjacent to all vertices of the same parity with respect to  $C$ .*

*Proof.* Let  $x$  be adjacent to a vertex  $v_i$  on the cycle. Then obviously it is adjacent to neither  $v_{i-1}$  nor  $v_{i+1}$ , since the graph is  $K_3$ -free. If it is also non-adjacent to  $v_{i-2}$ , then the subgraph of  $G$  induced by  $v_i, v_{i+1}, v_{i-1}, v_{i-2}, x, v_{i+3}, v_{i+4}$  is either isomorphic to  $S_{1,2,3}$  (if  $x$  has a neighbour

in  $\{v_{i+3}, v_{i+4}\}$ ) or to  $S_{1,1,2} + P_2$  (if  $x$  has no neighbour in  $\{v_{i+3}, v_{i+4}\}$ ). Therefore,  $x$  is adjacent to  $v_{i-2}$ . Since vertex  $v_i$  was chosen arbitrarily,  $x$  must be adjacent to all vertices which have the same parity as  $v_i$ .  $\square$

Notice that we may assume that  $G$  satisfies the following property:

- (\*) for any two non-adjacent vertices  $u$  and  $v$ , there exists a neighbour of  $u$  which is non-adjacent to  $v$  and there exists a neighbour of  $v$  which is non-adjacent to  $u$ .

Indeed if a pair of vertices does not satisfy Property (\*), then the neighbourhood of one of the vertices  $u, v$  is included in the neighbourhood of the other. In this case the first vertex can be deleted from the graph  $G$  and it is easy to see that the new graph has a 3-colouring if and only if the original graph does.

**Lemma 12.** *Let  $G$  be a  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graph with Property (\*) and let  $P$  be an induced path in  $G$  with at least 8 vertices. If a vertex  $x$  is adjacent to a vertex of degree 2 in  $P$ , then  $x$  is adjacent to all vertices of the same parity in  $P$ .*

*Proof.* Let  $P$  be the path  $v_1 - v_2 - \dots - v_k$  with  $k \geq 8$ . Suppose, for contradiction that  $x$  has a neighbour  $v_i$  with  $2 < i \leq k - 1$ , such that  $x$  is not adjacent to  $v_{i-2}$  (the case where  $x$  is not adjacent to  $v_{i+2}$  is symmetric). Clearly  $x$  cannot be adjacent to  $v_{i-1}$  or  $v_{i+1}$  since  $G$  is  $K_3$ -free.

If  $i < k - 3$ , then  $G[v_i, x, v_{i+1}, v_{i-1}, v_{i-2}, v_{i+3}, v_{i+4}]$  is either isomorphic to  $S_{1,2,3}$  (if  $x$  has a neighbour in  $\{v_{i+3}, v_{i+4}\}$ ) or to  $S_{1,1,2} + P_2$  (if  $x$  has no neighbour in  $\{v_{i+3}, v_{i+4}\}$ ). Thus we may assume  $i \geq k - 3$ .

But now if  $k \geq 9$  or  $k = 8, i \geq k - 2$ , then  $G[v_i, x, v_{i+1}, v_{i-1}, v_{i-2}, v_{i-4}, v_{i-5}]$  is either isomorphic to  $S_{1,2,3}$  (if  $x$  has a neighbour in  $\{v_{i-5}, v_{i-4}\}$ ) or to  $S_{1,1,2} + P_2$  (if  $x$  has no neighbour in  $\{v_{i-5}, v_{i-4}\}$ ). This contradiction proves that if  $k \geq 9$  or  $k = 8, i \neq k - 3$ , then  $x$  must be adjacent to  $v_{i-2}$ .

Now let us analyse the case when  $k = 8$  and  $i = k - 3 = 5$ . By the above argument for  $k = 8, i = 3$ , we conclude that  $x$  is adjacent to  $v_7$ . Since  $G$  satisfies Property (\*), vertex  $v_6$  must have a neighbour  $y$  which is non-adjacent to  $x$ . From the first part of the proof, we know that  $y$  must be adjacent to  $v_8$  and  $v_4$  and therefore to  $v_2$ . But  $x$  cannot be adjacent to  $v_2$ , since then it would have to be adjacent to  $v_4$ , contradicting the fact that  $G$  is  $K_3$ -free. If  $x$  is adjacent to  $v_1$ , then  $G[y, v_6, v_8, v_4, v_3, v_1, x]$  is an  $S_{1,1,2} + P_2$ . If  $x$  is non-adjacent to  $v_1$ , then  $G[y, v_4, v_2, v_1, v_6, v_7, x]$  is an  $S_{1,2,3}$ . This final contradiction completes the proof of the lemma.  $\square$

We may also assume that  $G$  satisfies the following property (otherwise we can apply Lemma 10):

- (\*\*) For any induced path  $P$  in  $G$  on 6 or 7 vertices, there is a vertex  $x \in V(G) \setminus V(P)$  which has both a neighbour and a non-neighbour of the same parity in  $P$ .

Let  $\mathcal{G}$  denote the subclass of  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2, C_7, C_8, P_8)$ -free graphs with Properties (\*) and (\*\*).

**Lemma 13.** *Any connected graph  $G \in \mathcal{G}$  containing an induced  $P_6$  has chromatic number at most 3 and a 3-colouring of  $G$  can be found in polynomial time.*

*Proof.* Let  $Q$  denote the graph obtained from a  $C_6$  by adding a vertex which has exactly one neighbour on the cycle. We split the proof into two cases.

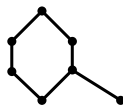


Figure 2: The graph  $Q$ .

*Case 1:*  $G$  contains an induced subgraph isomorphic to  $Q$ . Say  $Q$  is induced by vertices  $a, b, c, d, e, f, g \in V(G)$  where  $a-b-c-d-e-f-a$  is a chordless cycle and the only neighbour of  $g$  on the cycle is  $e$ . The vertices of  $G$  outside the set  $\{a, b, c\}$  can be partitioned into at most 5 non-empty subsets in the following way:

$V_a$  is the set of vertices adjacent to  $a$  and non-adjacent to  $b$  and  $c$ ,

$V_b$  and  $V_c$  are defined by analogy with  $V_a$ ,

$V_{ac}$  is the set of vertices adjacent to  $a$  and  $c$  and non-adjacent to  $b$ ,

$W$  is the set of vertices anticomplete to  $\{a, b, c\}$ .

Note that  $V_a, V_b, V_c$  and  $V_{ac}$  are independent sets, since  $G$  is  $K_3$ -free. We will split  $W$  into independent sets. We will investigate the possible edges between all these independent sets and finally, we will show how to obtain a 3-colouring of  $G$ .

- (i) For any edge  $uv$  in  $G[W \setminus \{e, g\}]$ , at least one of  $u, v$  has a neighbour in  $\{e, g\}$ . Suppose not. Then since  $G[e, d, g, f, a, u, v]$  cannot be isomorphic to  $S_{1,1,2} + P_2$ , it follows that at least one of  $u, v$  is adjacent to one of  $d, f$ . Without loss of generality, we may assume that  $u$  is adjacent to  $f$ . But then  $G[f, u, e, g, a, b, c]$  would be an  $S_{1,2,3}$ , a contradiction.

We may now partition  $W$  into two sets  $W_0$  and  $W_1$ , where  $G[W_1]$  is the connected component of  $G[W]$  containing  $e$  and  $g$ . Notice that  $W_0 = W \setminus W_1$  is an independent set (by (i)).

- (ii) For every edge  $uv$  in  $G[W_1]$ , exactly one of  $u, v$  has a neighbour in  $\{d, f\}$ . This is trivially true for every edge incident to  $e$ . Now consider an edge  $ug$  in  $G[W_1]$ , where  $u \neq e$ . Notice that  $g$  is non-adjacent to  $d, f$ . If  $u$  is non-adjacent to  $d, f$ , then  $G[e, f, g, u, d, c, b]$  is isomorphic to  $S_{1,2,3}$ , a contradiction. Thus  $u$  is adjacent to at least one of  $d, f$ . Now consider an edge  $uv$  in  $G[W_1]$  such that  $u, v \neq e, g$ . Since  $G$  is  $(K_3, C_7)$ -free, at most one of  $u, v$  can have a neighbour in  $\{d, f\}$ . Suppose that  $u, v$  are non-adjacent to  $d, f$ . From the previous case, we may assume that  $u, v$  are non-adjacent to  $g$ . It follows from (i) that one of  $u, v$  is adjacent to  $e$ . Without loss of generality we may assume that  $u$  is adjacent to  $e$ . But then  $G[e, g, u, v, f, a, b]$  would be isomorphic to  $S_{1,2,3}$ , which is a contradiction.
- (iii)  $G[W_1]$  is complete bipartite. First let us show that every vertex  $u \in W_1 \setminus \{e, g\}$  is adjacent to exactly one of  $e, g$ . Clearly no vertex can be adjacent to both  $e$  and  $g$  since  $G$  is  $K_3$ -free. Now let  $u \in W_1 \setminus \{e, g\}$  and suppose that  $u$  is non-adjacent to  $e, g$ . If  $u$  is adjacent to  $f$  (resp.  $d$ ) then  $G[f, u, e, g, a, b, c]$  (resp.  $G[d, u, e, g, c, b, a]$ ) is isomorphic to  $S_{1,2,3}$ , a contradiction. Now let  $v$  be a neighbour of  $u$  in  $W_1$ . It follows from (ii) that  $v$  is adjacent to at least one of  $d, f$ . We may assume that  $v$  is adjacent to  $f$ . But now  $G[f, e, v, u, a, b, c]$

is isomorphic to  $S_{1,2,3}$ , a contradiction. Thus every vertex  $u \in W_1 \setminus \{e, g\}$  is indeed adjacent to exactly one of  $e, g$ . Let  $W_1(g)$  be the vertices in  $W_1$  which are adjacent to  $e$  and let  $W_1(e)$  be the vertices adjacent to  $g$ . Notice that  $e \in W_1(e)$  and  $g \in W_1(g)$ . Now we only need to show that  $W_1(e)$  is complete to  $W_1(g)$ . Suppose not. Let  $w \in W_1(g)$  and  $w' \in W_1(e)$  be non-adjacent. Since  $g$  is non-adjacent to  $d, f$ , it follows from (ii) that  $w'$  is adjacent to at least one of  $d, f$ . Without loss of generality we may assume that  $w'$  is adjacent to  $f$ . But now  $G[f, w', e, w, a, b, c]$  is isomorphic to  $S_{1,2,3}$ , a contradiction.

Notice that since  $e$  is adjacent to  $d, f$ , (ii) implies that  $W_1(g)$  must be anticomplete to  $\{d, f\}$  and that every vertex in  $W_1(e)$  is adjacent to at least one of  $d, f$ .

- (iv) Let  $v \in V_a \cup V_c$  with  $v \neq d, f$ . Then for every edge  $ww'$  in  $G[W_1]$ , exactly one of  $w, w'$  is adjacent to  $v$ . Suppose not. Without loss of generality, assume  $v \in V_c$ ,  $w \in W_1(e)$  and  $w' \in W_1(g)$ . But then  $G[c, v, b, a, d, w, w']$  is isomorphic to  $S_{1,2,3}$  (if  $dw \in E(G)$ ) or to  $S_{1,1,2} + P_2$  (if  $dw \notin E(G)$ ), which is a contradiction.
- (v) There exist no two vertices  $u, v \in W_1(e)$  such that  $uf, vd \in E(G)$  and  $ud, vf \notin E(G)$ . Suppose, for contradiction, that such two vertices exist. Notice that  $u, v \neq e$ . But then  $G[d, v, c, b, e, f, u]$  is isomorphic to  $S_{1,2,3}$ , a contradiction.

Thus either  $d$  or  $f$  is complete to  $W_1(e)$ . Without loss of generality, we may assume  $f$  is complete to  $W_1(e)$ . Then by (iii) and (iv) it follows that we may partition  $V_a$  into  $V_a = V_a^1 \cup V_a^2$  such that  $V_a^1$  is complete to  $W_1(e)$  and anticomplete to  $W_1(g)$  and  $V_a^2$  is complete to  $W_1(g)$  and anticomplete to  $W_1(e)$ . From (iii) and (iv) it also follows that we may partition  $V_c$  into  $V_c = V_c^1 \cup V_c^2$  such that every vertex in  $V_c^1$  has a neighbour in  $W_1(e)$  and is anticomplete to  $W_1(g)$  and every vertex in  $V_c^2$  has a neighbour in  $W_1(g)$  and is anticomplete to  $W_1(e)$ . Since  $G$  is  $K_3$ -free,  $V_a^1$  must be anticomplete to  $V_c^1$  and  $V_a^2$  must be anticomplete to  $V_c^2$ .

- (vi)  $W_0$  is anticomplete to  $V_a \cup V_c$ . Let  $u \in W_0$  and suppose that  $u$  is adjacent to some vertex  $v$  in  $V_a \cup V_c$ . Consider an edge  $ww'$  in  $G[W_1]$ . It follows from (iv) that exactly one vertex of  $w, w'$  is adjacent to  $v$ . We may assume without loss of generality that  $w$  is adjacent to  $v$ . But now  $G[v, u, w, w', a, b, c]$  is isomorphic to  $S_{1,2,3}$ , a contradiction.
- (vii)  $W_1(g)$  and  $W_0$  have no common neighbours in  $V_{ac}$ . Suppose that  $w \in W_1(g)$  and  $u \in W_0$  have a common neighbour  $v \in V_{ac}$ . Since  $G$  is  $K_3$ -free,  $e$  is non-adjacent to  $v$ . But then  $G[v, u, a, b, w, e, d]$  is isomorphic to  $S_{1,2,3}$ , a contradiction.

Let  $X$  denote the subset of vertices of  $V_{ac}$  that have a neighbour in  $W_1(g)$  and let  $Y$  denote the remaining vertices of  $V_{ac}$ . Notice that  $X$  is anticomplete to  $W_1(e)$  since  $G$  is  $K_3$ -free. From the above and the fact that  $G$  is  $K_3$ -free, we conclude that each of the following three sets is independent:  $V_a^2 \cup V_c^2 \cup W_1(e) \cup W_0 \cup \{b\} \cup X$ ,  $V_a^1 \cup V_c^1 \cup W_1(g) \cup Y$ ,  $V_b \cup \{a, c\}$ . Therefore  $G$  is 3-colourable and such a colouring can be found in polynomial time.

*Case 2:*  $G$  contains no induced subgraph isomorphic to  $Q$ . Suppose that the vertices  $a, b, c, d, e, f$  induce a  $P_6$  with edges  $\{ab, bc, cd, de, ef\}$  (we know that  $G$  contains an induced  $P_6$ ). The vertices outside the set  $\{b, c, d, e\}$  can be partitioned into at most 8 non-empty sets as follows:

$V_b$  is the set of vertices adjacent to  $b$  and non-adjacent to  $c, d, e$ ,

$V_c, V_d, V_e$  are defined by analogy with  $V_b$ ,

$V_{bd}$  is the set of vertices adjacent to  $b$  and  $d$  and non-adjacent to  $c$  and  $e$ ,

$V_{ce}$  and  $V_{be}$  are defined by analogy with  $V_{bd}$ ,

$W$  is the set of vertices anticomplete to  $\{b, c, d, e\}$ .

- (i)  $V_b$  is anticomplete to  $V_e$ . Note that  $a \in V_b$  and  $f \in V_e$ . We know that  $af \notin E(G)$ . Suppose  $a$  has a neighbour  $u \in V_e \setminus \{f\}$ . Then  $G[a, b, c, d, e, u, f]$  is isomorphic to  $Q$ , a contradiction. Therefore  $a$  is anticomplete to  $V_e$ . Now suppose that there exist two adjacent vertices  $u \in V_b \setminus \{a\}, v \in V_e$ . Then  $G[b, c, d, e, v, u, a]$  is isomorphic to  $Q$ . This contradiction shows that  $V_b$  is anticomplete to  $V_e$ .
- (ii) Every vertex in  $W$  is either complete to  $V_b$  (resp.  $V_e$ ) or anticomplete to  $V_b$  (resp.  $V_e$ ). Suppose there exists a vertex  $w \in W$  which is adjacent to some vertex  $u \in V_b$  and non-adjacent to some other vertex  $v \in V_b$ . Then  $G[b, v, u, w, c, d, e]$  is isomorphic to  $S_{1,2,3}$ , a contradiction. Thus the claim holds for  $V_b$  and by symmetry we conclude that it holds for  $V_e$  as well.
- (iii) No vertex in  $W$  is complete to both  $V_b$  and  $V_e$ . Suppose a vertex  $w \in W$  is complete to  $V_b \cup V_e$ . Then  $G[a, b, c, d, e, f, w]$  is isomorphic to  $C_7$ , a contradiction.

It follows from the above that we may partition  $W$  into three sets  $W_b, W_e, W_0$ , where  $W_b$  is complete to  $V_b$  and anticomplete to  $V_e$ ,  $W_e$  is complete to  $V_e$  and anticomplete to  $V_b$ , and  $W_0$  is anticomplete to  $V_b \cup V_e$ . Notice that  $W_b$  and  $W_e$  are both independent sets.

- (iv) At most one of  $W_b, W_e$  is nonempty. Indeed if both  $W_b$  and  $W_e$  are nonempty, say  $u \in W_b$  and  $v \in W_e$ , then  $G[u, a, b, c, d, e, f, v]$  is either isomorphic to  $C_8$  or  $P_8$ , a contradiction.

It follows from (iv) that we may assume without loss of generality that  $W_e = \emptyset$ . Thus  $W$  is anticomplete to  $V_e$ . Furthermore,  $|W_b| \leq 1$ , since if  $u, v \in W_b$ , then  $G[a, u, v, b, c, e, f]$  is isomorphic to  $S_{1,1,2} + P_2$ , a contradiction.

- (v)  $W$  is an independent set. Suppose  $W$  contains an edge  $uv$  and that  $u \in W_b$ . Since  $G$  is  $K_3$ -free, it follows that  $v$  is non-adjacent to  $a$ . But now  $G[v, u, a, b, c, d, e, f]$  is isomorphic to  $P_8$ . This contradiction shows that neither  $u$  nor  $v$  has neighbours in  $V_b$ , hence  $u, v \in W_0$ . We let  $P$  denote either the induced path  $P_6 = \{ab, bc, cd, de, ef\}$  (if  $W_b = \emptyset$ ) or the induced path  $P_7 = \{ya, ab, bc, cd, de, ef\}$  (if  $W_b = \{y\}$ ). We label the vertices of  $P$  by natural numbers  $1, 2, \dots, 6$  or  $1, 2, \dots, 7$  and let  $k$  be the number of vertices in  $P$ .

Suppose a vertex  $z$  outside  $P$  has a neighbour in  $P$ . Then it must be adjacent to a vertex  $i$  of degree 2 in  $P$ . Note that  $W_0$  and  $P$  are anticomplete, so  $z \neq u, v$ .

This implies that  $z$  is adjacent to  $i-2$  (if  $i > 2$ ), since otherwise  $G[i, i+1, i-1, i-2, z, u, v]$  induces either an  $S_{1,2,3}$  (if  $z$  has a neighbour in  $\{u, v\}$ ) or an  $S_{1,1,2} + P_2$  (if  $z$  has no neighbour in  $\{u, v\}$ ). Similarly  $z$  must be adjacent to  $i+2$  if  $i < k-1$ . As a result  $z$  is adjacent to all vertices of the same parity in  $P$ . Therefore, if  $W$  is not an independent set, then  $G$  does not have Property (\*\*). This contradiction implies that  $W$  is an independent set.

- (vi)  $W_b$  is anticomplete to  $V_d$ . Let  $W_b = \{y\}$ . Suppose that  $y$  is adjacent to  $u \in V_d$ . Then  $G[a, b, c, d, u, y, e]$  is isomorphic to  $Q$ , a contradiction.



- (vii)  $W_0$  is anticomplete to  $V_c \cup V_d$ . By symmetry it is enough to show that  $W_0$  is anticomplete to  $V_c$ . Suppose that a vertex  $w \in W_0$  is adjacent to some vertex  $u \in V_c$ . Then  $u$  must be adjacent to  $f$  otherwise  $G[c, b, u, w, d, e, f]$  would be isomorphic to  $S_{1,2,3}$ , a contradiction. Now we claim that  $u$  is adjacent to  $a$ . Suppose not, then  $G[u, w, f, e, c, b, a]$  would be isomorphic to  $S_{1,2,3}$ , a contradiction. But now  $G[u, w, a, b, f, e, d]$  is isomorphic to  $S_{1,2,3}$ , a contradiction.
- (viii) One of  $W_b, V_{be}$  is empty. Indeed, suppose  $W_b = \{y\}$  and  $u \in V_{be}$ . If  $y$  is non-adjacent to  $u$  then  $G[b, c, a, y, u, e, f]$  is isomorphic to  $S_{1,2,3}$ , a contradiction. On the other hand, if  $y$  is adjacent to  $u$ , then  $G[e, f, d, c, u, y, a]$  is isomorphic to  $S_{1,2,3}$ , a contradiction.
- (ix) If  $W_b = \emptyset$ , then  $G$  is 3-colourable. First, suppose that  $W_0$  is anticomplete to  $V_{be}$ . Then it is easy to see that the following are independent sets:  $W_0 \cup V_b \cup V_e \cup V_{be} \cup \{c\}$ ,  $V_{bd} \cup V_d \cup \{e\}$ ,  $\{b, d\} \cup V_{ce} \cup V_c$ . So we may now assume that there exists a vertex  $w \in W_0$  which has a neighbour  $v \in V_{be}$ . We claim that  $v$  must be complete to  $V_c \cup V_d$ . Suppose that  $v$  is non-adjacent to some vertex  $u \in V_c$ . Then  $f$  is adjacent to  $u$ , since otherwise  $G[v, w, e, f, b, c, u]$  would be isomorphic to  $S_{1,2,3}$ , a contradiction. But now  $G[c, d, u, f, b, v, w]$  is isomorphic to  $S_{1,2,3}$ , a contradiction. Thus  $v$  is complete to  $V_c$  and by symmetry we conclude that  $v$  is complete to  $V_d$  as well. Hence  $V_c$  and  $V_d$  are anticomplete. Now we obtain a 3-colouring as follows:  $V_b \cup V_{be} \cup V_{bd} \cup \{c\}$ ,  $\{b, e\} \cup V_c \cup V_d \cup W_0$ ,  $\{d\} \cup V_e \cup V_{ce}$ .

It follows from (ix) that we may now assume that  $W_b = \{y\}$  and hence  $V_{be} = \emptyset$ . We claim that  $V_e$  is complete to  $V_d$ . Suppose some vertex  $u \in V_d$  is non-adjacent to some vertex  $v \in V_e$ . Then  $u$  must be adjacent to  $a$ , otherwise  $G[d, u, e, v, c, b, a]$  is isomorphic to  $S_{1,2,3}$ , a contradiction. But now  $G[d, c, e, v, u, a, y]$  is isomorphic to  $S_{1,2,3}$ , a contradiction. Thus  $V_e$  is complete to  $V_d$ . This implies that  $V_b$  is anticomplete to  $V_d$ . Indeed if a vertex  $u \in V_b$  is adjacent to some vertex  $v \in V_d$ , then  $G[u, y, b, c, v, f, e]$  is isomorphic to  $S_{1,2,3}$ , a contradiction. Now we obtain a 3-colouring as follows:  $V_b \cup V_{bd} \cup V_d \cup \{c, e\}$ ,  $\{b, d\} \cup V_e \cup W$ ,  $V_{ce} \cup V_c$ .

This completes the proof that any connected graph  $G \in \mathcal{G}$  containing an induced  $P_6$  has chromatic number at most 3. From the above, it is easy to see that a 3-colouring of  $G$  can be found in polynomial time.  $\square$

**Theorem 4.** *The VERTEX COLOURING problem is solvable in polynomial time in the class of  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graphs.*

*Proof.* Since we can solve the problem component-wise in  $G$ , we may assume that  $G$  is connected. It follows from Lemmas 2, 7, 8 and 9 that the problem reduces to 3-COLOURABILITY of  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graphs which contain no odd induced cycle of length at least 7. Also, we only need to consider graphs that satisfy Property (\*). Lemmas 10, 11 and 12 further reduce the problem in polynomial time to those graphs that contain no induced paths or induced even cycles of length at least 8. The reduction is as follows:

- Check if  $G$  contains a  $P_8$  or  $C_8$ . If  $G$  contains a  $C_8$  apply Lemmas 10 and 11. If  $G$  contains a  $P_8$  extend it to a maximal (with respect to set inclusion) induced path  $P$ . This can obviously be done in polynomial time. If there is a vertex which creates a cycle with  $P$ , by Lemma 11, we can apply Lemma 10. Otherwise, every vertex of  $G$  which has a neighbour on  $P$  must be adjacent to a vertex of degree 2 in  $P$ , in which case Lemma 12 tells us we can apply Lemma 10.

The above procedure further reduces the problem to 3-COLOURABILITY of  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2)$ -free graphs with Property (\*) that are  $(C_7, C_8, P_8)$ -free. Finally, if  $G$  does not satisfy

Property (\*\*), we can find a suitable path on 6 or 7 vertices and apply Lemma 10. We may therefore assume  $G$  satisfies Property (\*\*).

Note that all of the above reductions work in polynomial time and either solve the 3-COLOURABILITY problem or delete vertices from the graph, so at most  $|V(G)|$  such reductions can be applied. We may now assume that  $G$  is a connected  $(K_3, S_{1,2,3}, S_{1,1,2} + P_2, C_7, C_8, P_8)$ -free graph satisfying Properties (\*) and (\*\*), i.e.  $G \in \mathcal{G}$ .

Now if  $G$  is  $P_6$ -free, we can solve the 3-COLOURABILITY problem in polynomial time by Theorem 1 and if  $G$  is not  $P_6$ -free, we can solve the problem in polynomial time using Lemma 13. This completes the proof.  $\square$

## 6. Further results

In this section we prove a few additional results. The first two results deal with graph classes  $Free(K_3, F)$  where  $F$  is a “big” forest of simple structure.

**Theorem 5.** *For every fixed  $m$ , the VERTEX COLOURING problem is polynomial-time solvable in the class  $Free(K_3, mK_2)$ .*

*Proof.* Obviously, if a graph  $G$  is  $k$ -colourable, then it admits a  $k$ -colouring in which one of the colour classes is a maximal independent set.

It is known that for every fixed  $m$  the number of maximal independent sets in the class  $Free(mK_2)$  is bounded by a polynomial [1] and all of them can be found in polynomial time [37]. Therefore, given a  $mK_2$ -free graph  $G$ , we can solve the 3-COLOURABILITY problem for  $G$  by generating all maximal independent sets and solving 2-COLOURABILITY for the remaining vertices of the graph. Then by induction on  $k$ , we conclude that for any fixed  $k$  the  $k$ -COLOURABILITY problem can be solved in the class  $Free(mK_2)$  in polynomial time. Since the chromatic number of  $(K_3, mK_2)$ -free graphs is bounded by  $2m - 2$  (see e.g. [3]), the VERTEX COLOURING problem is polynomial-time solvable in the class  $Free(K_3, mK_2)$  for any fixed  $m$ .  $\square$

**Theorem 6.** *For every fixed  $m$ , the VERTEX COLOURING problem is polynomial-time solvable in the class  $Free(K_3, P_3 + mK_1)$ .*

*Proof.* To prove the theorem, we will show that for any fixed  $m$ , graphs in the class  $Free(K_3, P_3 + mK_1)$  are either bounded in size, or they are 3-colourable and a 3-colouring can be found in polynomial time.

Let  $G$  be a  $(K_3, P_3 + mK_1)$ -free graph. We start by finding a maximum independent set in  $G$ . For each fixed  $m$ , this problem is solvable in polynomial time, which can easily be seen by induction on  $m$ . Let  $S$  be a maximum independent set in  $G$ . Let  $R$  denote the remaining vertices of  $G$ , i.e.  $R = V(G) - S$ . We may assume that  $R$  contains an induced odd cycle  $C = v_1 - v_2 - \dots - v_p - v_1$  with  $p \geq 5$ . Since  $S$  is a maximum independent set, each vertex of  $C$  has at least one neighbour in  $S$ . Let us call a vertex  $v_i \in V(C)$  strong if it has at least 2 neighbours in  $S$  and weak otherwise. Since  $C$  is an odd cycle, it has either two consecutive weak vertices or two consecutive strong vertices.

If  $C$  has two consecutive weak vertices, say  $v_1, v_2$ , then jointly they are adjacent to two vertices of  $S$ , say  $v_1$  is adjacent to  $s_1$ , and  $v_2$  is adjacent to  $s_2$ , and therefore, they have  $|S| - 2$  common non-neighbours in  $S$ . If  $|S| - 2 \geq m$ , then  $s_1, v_1, v_2$  together with  $m$  vertices in  $S \setminus \{s_1, s_2\}$  induce a subgraph isomorphic to  $P_3 + mK_1$ , a contradiction. Therefore  $|S| < m + 2$ . But then the number of vertices in  $G$  is bounded by the Ramsey number  $R(3, m + 2)$ , since  $G$  is  $K_3$ -free and contains no independent set of size  $m + 2$ .

Now suppose  $C$  has two consecutive strong vertices, say  $v_1, v_2$ . Since the graph is  $(P_3 + mK_1)$ -free, every strong vertex has at most  $m - 1$  non-neighbours in  $S$ , and since the graph is  $K_3$ -free, consecutive vertices of  $C$  cannot have common neighbours. Therefore each of  $v_1$  and  $v_2$  has at most  $m - 1$  neighbours in  $S$ . But then  $|S| < 2m - 1$  and hence the number of vertices of  $G$  is bounded by the Ramsey number  $R(3, 2m - 1)$  by the same argument as before.

Thus, if  $R$  has an odd cycle, then the number of vertices in  $G$  is bounded by a constant. If  $R$  has no odd cycles, then  $G[R]$  is bipartite, and hence  $G$  is 3-colourable. Finding a maximum independent set in a  $(P_3 + mK_1)$ -free graph can be done in polynomial time, so any  $(K_3, P_3 + mK_1)$ -free graph is either bounded in size, or can be 3-coloured in this way in polynomial time. Thus VERTEX COLOURING of  $(K_3, P_3 + mK_1)$ -free graphs can be solved in polynomial time.  $\square$

We conclude the paper with an alternative proof of the fact that every  $(K_3, H)$ -free graph is 3-colourable which is much shorter than the original proof in [30].

**Theorem 7.** *Every  $(K_3, H)$ -free graph is 3-colourable and a 3-colouring can be found in polynomial time.*

*Proof.* Let  $G$  be a  $(K_3, H)$ -free graph and  $S$  be any maximal (with respect to set inclusion) independent set in  $G$ . We assume that  $S$  admits no augmenting  $K_{1,2}$  (i.e. a triple  $x, y, z$  such that  $x$  and  $y$  are non-adjacent vertices outside  $S$  with  $N(x) \cap S = N(y) \cap S = \{z\}$ ), since finding an augmenting  $K_{1,2}$  can be done in polynomial time. (If such an augmenting  $K_{1,2}$  exists, we can just replace  $S$  by  $\{x, y\} \cup S \setminus \{z\}$ , which increases the size of  $S$ .)

Assume that the graph  $G[V \setminus S]$  is not bipartite, and let vertices  $x_1, \dots, x_k$  induce a cycle  $C$  of odd length  $k \geq 5$  in  $G[V \setminus S]$ . By maximality of  $S$ , every vertex outside  $S$  has a neighbour in  $S$ .

Suppose that each vertex of  $C$  has exactly one neighbour in  $S$ , and let  $y_2 \in S$  and  $y_3 \in S$  be the neighbours of  $x_2$  and  $x_3$ , respectively. Then  $x_1, x_2, x_3, x_4, y_2, y_3$  induce a copy of the graph  $H$  (by lack of triangles and augmenting  $K_{1,2}$ s). Thus,  $C$  must contain vertices with at least two neighbours in  $S$ . Assume without loss of generality that  $x_2$  is of this type. If  $C$  has two consecutive vertices each of which has at least two neighbours in  $S$ , then an induced  $H$  can be easily found. Therefore, each of  $x_1$  and  $x_3$  has exactly one neighbour in  $S$ . If  $y_2 \in S$  is a neighbour of  $x_2$  and  $y_3 \in S$  is a neighbour of  $x_3$ , then  $x_4$  is adjacent to  $y_2$ , since otherwise  $x_1, x_2, y_2, x_3, y_3, x_4$  would induce a copy of  $H$ . Therefore,  $N(x_2) \cap S \subseteq N(x_4) \cap S$ , and by symmetry,  $N(x_4) \cap S \subseteq N(x_2) \cap S$ , i.e.  $x_2$  and  $x_4$  have the same neighbourhood in  $S$ . This in turn implies that  $x_5$  has exactly one neighbour in  $S$ . Continuing inductively, we conclude that the even-indexed vertices of  $C$  have the same neighbourhood in  $S$  consisting of at least two vertices, and each of the odd-indexed vertices of  $C$  has exactly one neighbour in  $S$ . But then  $x_1, x_2, x_k, x_{k-1}, y_1, y_k$  induce a copy of the graph  $H$ , where  $y_1 \in S$  and  $y_k \in S$  are the neighbours of  $x_1$  and  $x_k$ , respectively.  $\square$

## 7. Concluding remarks and open problems

In this paper we studied the complexity of the VERTEX COLOURING problem in subclasses of triangle-free graphs obtained by forbidding forests and proved polynomial-time solvability of the problem in many classes of this type. In particular our contribution, combined with some previously known results listed in Table 1, provides a complete description of the complexity status of the problem in subclasses of triangle-free graphs obtained by forbidding a forest with at most 6 vertices (Tables 2 and 3 summarize results of this type obtained in the present paper). Very little is known about the status of the problem in subclasses of triangle-free graphs defined by forbidding forests with more than 6 vertices, and this creates a challenging research direction.





Graph	Graph Name	Complexity	Reference
	$P_6$	P	Theorem 1
	$K_{1,3} + P_2$	P	Theorem 3
	$S_{1,1,3}$	P	Theorem 3
	$3P_2$	P	Theorem 5

Table 3: Forests  $F$  on six vertices, none of which is isolated, for which the complexity of VERTEX COLOURING in the class  $\text{Free}(K_3, F)$  is contributed in this paper.

One more natural direction of research is investigation of the problem in extensions of triangle-free graphs. Let us observe that all results on triangle-free graphs can be extended, with no extra work, to so-called paw-free graphs, where a paw is the graph obtained from a triangle by adding a pendant edge. This follows from two facts: first, the problem can obviously be reduced to connected graphs, and second, according to [28], a connected paw-free graph is either complete multipartite (i.e.  $\overline{P}_3$ -free), in which case the problem is trivial, or triangle-free.

Further extensions make the problem much harder. For instance, by adding a pendant edge to each vertex of a triangle, we obtain a graph known in the literature as a net, and according to [35] the problem is NP-hard even for  $(\text{net}, 2K_2)$ -free graphs and  $(\text{net}, 4K_1)$ -free graphs. An interesting intermediate class between paw-free and net-free graphs is the class of bull-free graphs, where a bull is the graph obtained by adding a pendant edge to two vertices of a triangle. Recently, the class of bull-free graphs received much attention in the literature (see e.g. [8, 9, 13, 23]). In particular, [8] provides a structural characterisation of bull-free graphs which may be helpful in designing algorithms for various graph problems, including the vertex colouring problem.

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